

Patent and Trademark Office  
Persons are advised to respond to

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#33

**Submit an original, and a duplicate for fee processing.**  
**(Only for Continuation or Divisional applications under 37 CFR 1.53(d))**

CHECK BOX, if applicable:  
☐ DUPLICATE

**ADDRESS TO:** Assistant Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Attorney Docket No. 74451.P024C

First Named Inventor Ahmad Zandi

Express Mail Label No. EL143555597US

---

**Total Pages**      **3**

This is a request for a XXX continuation or \_\_\_\_\_ divisional under 37 CFR 1.53(d)

(continuation prosecution application (CPA)) of prior application No: 08/941,466

filed on September 30, 1997, entitled METHOD FOR COMPRESSION USING REVERSIBLE EMBEDDED WAVELETS

## NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1.      Enter the unentered amendment previously filed on           
under 37 CFR 1.116 in the prior nonprovisional application.

2.          A preliminary amendment is enclosed.

3.        This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)4.

         a. **DELETE** the following inventor(s) named in the prior nonprovisional application:

b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4.        A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.

5.            Information Disclosure Statement (IDS) is enclosed:

a. PTO - 1449

02/28/2000 DVUONG 00000013 08941466

**b. Copies of IDS Citations**

01 FC:131

690.00 DP

02 FC:102

~~546.00 00~~

12/29/97

Please type a plus sign (+) in this box [ + ]

PTO/SB/29 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CLAIMS				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c))	37 - 20 =	17	X \$ 18.00 =	\$ 306.00
Independent Claims (37 CFR 1.16(b))	10 - 3 =	7	X \$ 78.00 =	\$ 546.00
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	\$ _____
			BASIC FEE (37 CFR 1.16(a))	\$ 690.00
Total of above Calculations				= \$ 1,542.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0
TOTAL				= \$ 1,542.00

6. ☐ Small entity status:

a. ☐ A small entity statement is enclosed.

b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

c. ☐ Is no longer claimed.

7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-2666.

a. ☐ Fees required under 37 CFR 1.16.

b. ☐ Fees required under 37 CFR 1.17.

c. ☐ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of \$ 1,542.00 is enclosed.

9. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**10. NEW CORRESPONDENCE ADDRESS**

\_\_\_\_ Customer Number or Bar Code Label  
OR (Insert Customer No. or Attach Bar Code Label here)

\_\_\_\_ New Correspondence Address Below

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

COUNTRY \_\_\_\_\_ TELEPHONE \_\_\_\_\_ FAX \_\_\_\_\_

**11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME Michael J. Mallie

REGISTRATION NO. 36,591

SIGNATURE [Signature]

DATE February 23, 2000



**EXPRESS MAIL CERTIFICATE OF MAILING**

"Express Mail" mailing label number: EL143555597US

Date of Deposit: February 23, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Angela M. Quinn  
(Typed or printed name of person mailing paper or fee)

Angela M. Quinn  
(Signature of person mailing paper or fee)

2-23-00  
(Date signed)

Serial/Patent No.: 08/941,466

Filing/Issue Date: 9/30/97

Client: RICOH CORPORATION

Title: METHOD FOR COMPRESSION USING REVERSIBLE EMBEDDED WAVELETS

BSTZ File No.: 74451.P024C

Atty/Secty Initials: MJM/amq

Date Mailed: 2/23/00

Docket Due Date: 11/27/00

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☐ Application - Utility (\_\_\_\_ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☒ Application - Rule 1.53(d) CPA Transmittal (3 pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☐ Declaration & POA (\_\_\_\_ pgs.)
- ☐ Disclosure Docs & Orig & Copy of Invents Signed Letter (\_\_\_\_ pgs.)
- ☐ Drawings: \_\_\_\_\_ # of sheets includes \_\_\_\_\_ figures

☒ Express Mail No. EL143555597US

☒ 3 Month(s) Extension of Time

☐ Information Disclosure Statement & PTO-1449 (\_\_\_\_ pgs.)

☐ Issue Fee Transmittal

☐ Notice of Appeal

☒ Petition for Extension of Time

☐ Petition for \_\_\_\_\_

☒ Postcard

☐ Power of Attorney (\_\_\_\_ pgs.)

☐ Preliminary Amendment (\_\_\_\_ pgs.)

☐ Reply Brief (\_\_\_\_ pgs.)

☐ Response to Notice of Missing Parts

☐ Small Entity Declaration for Indep. Inventor/Small Business

☐ Transmittal Letter, in duplicate

☒ Fee Transmittal, in duplicate

☐ Other: \_\_\_\_\_

RECEIVED  
FILED  
TECHNICAL  
NOV 28 2000  
Check No. 33857  
Amt: 1,542.00  
Check No. 33858  
Amt: 870.00